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UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM 8-K

CURRENT REPORT Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): August 18, 2016

FALCONSTOR SOFTWARE, INC.

(Exact name of registrant as specified in its charter)

| Delaware | 000-23970 | 77-0216135 |
|---|--------------|---------------------|
| (State or other jurisdiction | (Commission | (IRS Employer |
| of incorporation) | File Number) | Identification No.) |
| 2 Huntington Quadrangle, Melville, New York | | 11747 |
| (Address of principal executive offices) | | (Zip Code) |

Registrant's telephone number, including area code: 631-777-5188

N/A

(Former name or former address, if changed since last report.)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (*see* General Instruction A.2. below):

- . Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- " Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- " Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 3.01 Notice of Delisting or Failure to Satisfy a Continued Listing Rule or Standard; Transfer of Listing.

On August 18, 2016, FalconStor Software, Inc. (the "Company") received notice that the Nasdaq Listing Qualifications staff approved the Company's request to list its common stock on The Nasdaq Capital Market (the "Capital Market"), effective at the opening of business on August 19, 2016. By transferring from The Nasdaq Global Market to the Capital Market, the Company satisfies all listing requirements. The Company's trading symbol will remain FALC.

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SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: August 18, 2016 FALCONSTOR SOFTWARE, INC.

By: /s/ Louis J. Petrucelly

Name: Louis J. Petrucelly

Title: Executive Vice President, and

Chief Financial Officer

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